Receiff

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

. §

. § . §

§

. 8

. §

icant: David M. Holbrook

Serial No.: 10/002,793

Filed: November 15, 2001

Title: "Apparatus and Method for Organizing and/or Presenting

Data"

Office of Initial Patent Examination's Customer Service Center Assistant Commissioner of Patents Washington, DC 20231 Group Art Unit: 2171

Examiner: -----

Atty. Docket: HLB-1001-US

RECEIVED

JUL 0 3 2002

**Technology Center 2100** 

### REQUEST TO CORRECT ERRORS TO THE FILING RECEIPT

The domestic priority data as claimed by applicant claiming priority from the provisional patent application is incorrect on the filing receipt for this application. The data reads "THIS APPLICATION CLAIMS BENEFIT OF 60/248,402 11/14/2000\* (\*) data inconsistent with PTO records."

The filing receipt should read: "the application is claiming priority from the provisional patent application serial no. 60/248,802 11/15/2000."

This error occurred because of clerical error on the part of the applicant in the Declaration and Power of Attorney for Patent Application. Per telephone conversation with Office of Initial Patent Examination's Customer Service Center, the first place to determine domestic priority data as claimed by applicant is from the specification. The serial number and filing date are correctly listed on the specification.

As evidence of the missing information, enclosed is a copy of the provisional application filing receipt and a copy of the first page of specification showing correct filing date and serial number of domestic priority data. Additionally, as an example of the change that needs to be made to the filing receipt, enclosed is a marked-up copy with the changes noted thereon.

Please change your records and issue a new filing receipt to reflect the correct information.



No fee is beneved to be due for this petition. Please charge any fee if required, for the filing of this petition to Deposit Account No. 13-0010 (HLB-1001US).

Respectfully submitted,

Date: May 28, 2002

Chandran Kumar

Registration No. 48,679

MADAN, MOSSMAN & SRIRAM, P.C.

2603 Augusta, Suite 700

Houston, Texas 77057

Telephone: (713) 266-1130

RECEIVED

Facsimile: (713) 266-8510

JUL 0 3 2002

**Technology Center 2100** 

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper, along with any referred to as being attached or enclosed, is being forwarded to the Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner of Patents, Washington, D.C. 20231, via the United States Postal Service, first class mail, postage prepaid on this 28th day of May, 2001.

Gretchen King



# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

**APPLICATION NUMBER** FILING DATE **GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS **TOT CLAIMS** IND CLAIMS HLB-1001-10/002,793 11/15/2001 2171 454 40 20 5 US

24923
PAUL S MADAN
MADAN, MOSSMAN & SRIRAM, PC
2603 AUGUSTA, SUITE 700
HOUSTON, TX 77057-1130

UPDATED FILING RECEIPT

OCCORDO0000007760489\*

OCPY OF FAPERS

ORIGINALLY FILED

ORIGINALLY FILED

Date Mailed: 04/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you r ceived a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

David M. Holbrook, Houston, TX;

RECEIVED

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/248,402 11/14/2000 \* (\*) Data inconsistent with PTO records.

JUL 0 3 2002 Technology Center 2100

#### Foreign Applications

If Required, Foreign Filing License Granted 12/28/2001

**Projected Publication Date: 07/11/2002** 

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Apparatus and method for organizing and-or presenting data

**Preliminary Class** 



707

LUM 1 1 2002 E TIES 3

LICENSE FOR FOREIGN FILING UNDER

Title 35, Unit d Stat s Cod, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

RECEIVED

JUL 0 3 2002

**Technology Center 2100** 

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

**GRANTED** 

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# GOPY OF PAPERS ORIGINALLY FILED



# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

· · · · · · · · · · · · · · · · · · ·								
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	
10/002,793	11/15/2001	2171	454	HLB-1001-	40	20	5	

24923 PAUL S MADAN MADAN, MOSSMAN & SRIRAM, PC 2603 AUGUSTA, SUITE 700 HOUSTON, TX 77057-1130



CONFIRMATION NO. 5294
UPDATED FILING RECEIPT

\*OC0000000007760489\*

Date Mailed: 04/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Custom r Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you rec ived a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David M. Holbrook, Houston, TX;

THIS APPLN CLAIMS BENEFIT OF 60/248,402 11/14/2000 \*

(\*) Data inconsistent with PTO records.

**RECEIVED** 

JUL 0 3 2002

**Technology Center 2100** 

Foreign Applications

If Required, Foreign Filing License Granted 12/28/2001

**Projected Publication Date: 07/11/2002** 

N n-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Apparatus and method for organizing and-or presenting data

**Preliminary Class** 



# LICENSE FOR FOREIGN FILING UNDER Titl 35, Unit d States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Creation date: 06-15-2004

Indexing Officer: HTRAN5 - HENRY TRAN

Team: OIPEBackFileIndexing

Dossier: 10002793

Legal Date: 10-30-2002

No.	Doccode	Number of pages
1	IDS	1
2	NPL	17
3	NPL	4
4	NPL	4
5	NPL	10
6	NPL	2
7	NPL	1
8	NPL	3
9	NPL	. 2
10	NPL	2
11	NPL	10
12	NPL	2
13	NPL	1
14	NPL	. 1
15	NPL	1
16	NPL	6
17	NPL	6
18	NPL	15
19	NPL	12
20	NPL	19

Total	numb	er of	pages:	119
-------	------	-------	--------	-----

Remarks:

Order of re-scan issued on .....